United States Court of Appeals for the Second Circuit Thurgood Marshall U.S. Courthouse 40 Foley Square New York, NY 10007

ROBERT A. KATZMANN CHIEF JUDGE

CATHERINE O'HAGAN WOLFE

CLERK OF COURT

Date: August 22, 2014 DC Docket #: 12-cv-7372

Docket #: 14-1673cv DC Court: SDNY (NEW YORK CITY)

Short Title: Financial Guaranty Insurance C v. Putnam DC Judge: Sweet

Advisory Company, LLC

NOTICE OF DEFECTIVE FILING

On August 20, 2014 the Reply Brief was submitted in the above referenced case. The document does not comply with the FRAP or the Court's Local Rules for the following reason(s):

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Inquiries regarding this case may be directed to 212-857-8506.